

PATRIOT Act Notice

In accordance with 31 CFR 1023.220, as part of the Patriot Act, broker dealers are required to have a Customer Identification Program (“CIP”) for all issuer and investor clients who sponsor transactions, open new accounts or invest in third party transactions. The purpose of this CIP is to help the government to fight the funding of terrorism and money laundering activities. Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or legal entity who opens an account or invests in a third-party transaction. What this means for you: When you are represented by Kenmar, open an account or invest in a transaction, we will ask for your name, corporate legal entity name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license, articles of incorporation or other identifying documents.